

Section 301: U.S. Tariffs on Chinese Imports and Retaliatory Action
January 16, 2020

Action (U.S.)	Details	Product List	Tariff Rate	Value of Imports	Key Dates
First Tranche of U.S. Tariffs	<p><u>6/15/2018</u>: USTR finalized first set of 818 tariff lines of Chinese imports; products previously subject to public comment</p> <p><u>7/6/2018</u>: USTR opened product exclusion process</p> <p><u>8/23/2019</u>: President Trump ordered tariff rate increase to 30% effective 10/1/2019</p> <p><u>9/3/2019</u>: USTR published notice / request for comment</p> <p><u>9/11/2019</u>: President Trump delayed tariff rate increase effective date to 10/15/2019</p> <p><u>10/11/2019</u>: Cancellation of previously-announced tariff rate increase (i.e., tariff rate remains at 25%)</p> <p><u>10/31/2019</u>: USTR published request for comment regarding extensions of exclusions granted in December 2018</p>	1st tranche (FINAL; Annex A)	25%	\$34 billion	<p>Effective date (25%): 7/6/2018</p> <p>Effective date (30%): Was 10/15/2019; canceled</p> <p>Comments on pending rate increase due: 9/20/2019</p> <p>Requests for product exclusions due: 10/9/2018 (Status here)</p> <p>USTR product exclusion announcements: <i>Exclusions effective retroactively to July 6, 2018; will expire one year from date of publication</i></p> <ul style="list-style-type: none"> • December 28, 2018 Covers 1,000 requests 10/31/19 request for comment regarding extensions – comment period closed 11/30/19 • March 25, 2019 Covers 87 requests 12/30/19 request for comment regarding extensions – comment period closes 2/15/20 • April 18, 2019 Covers 348 requests • May 14, 2019 Covers 515 requests • June 4, 2019 Covers 464 requests • July 9, 2019 Covers 362 requests • September 20, 2019 Covers 724 requests • October 2, 2019 Covers 129 requests • December 2019 amendments • <i>No requests pending</i>

<p>Second Tranche of U.S. Tariffs</p>	<p><u>6/15/2018</u>: USTR released second set of 284 tariff lines of Chinese imports for public comment</p> <p><u>8/7/2018</u>: USTR finalized second set of 279 tariff lines of Chinese imports</p> <p><u>9/18/2018</u>: USTR opened product exclusion process</p> <p><u>8/23/2019</u>: President Trump ordered tariff rate increase to 30% effective 10/1/2019</p> <p><u>9/3/2019</u>: USTR published notice / request for comment</p> <p><u>9/11/2019</u>: President Trump delayed tariff rate increase effective date to 10/15/2019</p> <p><u>10/11/2019</u>: Cancellation of previously-announced tariff rate increase (i.e., tariff rate remains at 25%)</p>	<p>2nd tranche (FINAL)</p>	<p>25%</p>	<p>\$16 billion</p>	<p>Effective date (25%): 8/23/2018</p> <p>Effective date (30%): Was 10/15/2019; canceled</p> <p>Comments on pending rate increase due: 9/20/2019</p> <p>Requests for product exclusions due: 12/18/2018 (Status here)</p> <p>USTR product exclusion announcements: <i>Exclusions effective retroactively to 8/23/2018; will expire one year from date of publication</i></p> <ul style="list-style-type: none"> • July 31, 2019 Covers 292 requests • September 20, 2019 Covers 400 requests • October 2, 2019 Covers 382 requests • December 2019 amendments • <i>No requests pending</i>
<p>Third Tranche of U.S. Tariffs</p>	<p><u>7/10/2018</u>: USTR released a third set of 6,000+ tariff lines of Chinese imports</p> <p><u>8/1/2018</u>: USTR announced it was considering imposing a tariff rate of 25% instead of the initially-announced 10% rate</p> <p><u>9/17/2018</u>: USTR finalized third set of 5,733 (full or partial) tariff lines of Chinese imports at 10% - said rates would rise to 25% 1/1/2019</p> <p><u>5/9/2019</u>: USTR announced the initial 10% rate would increase to 25% effective 5/10/2019 (after repeated delays)</p> <p><u>6/30/2019</u>: USTR opened product exclusion process and launched new docket</p>	<p>3rd tranche (FINAL)</p>	<p>10% initially, then 25%</p>	<p>\$200 billion</p>	<p>Effective date (10%): 9/24/2018</p> <p>Effective date (25%): 5/10/2019 or 6/15/2019 <i>USTR delayed until 6/15/2019 rate increase for certain products in transit (see here)</i></p> <p>Effective date (30%): Was 10/15/2019; canceled</p> <p>Comments on pending rate increase due: 9/20/2019</p> <p>Requests for product exclusions due: 9/30/2019 (status here)</p> <p>USTR product exclusion announcements: <i>Exclusions effective retroactively to 9/24/2018; will expire August 7, 2020</i></p>

	<p><u>8/23/2019</u>: President Trump ordered tariff rate increase to 30% effective 10/1/2019</p> <p><u>9/3/2019</u>: USTR published notice / request for comment</p> <p><u>9/11/2019</u>: President Trump delayed tariff rate increase effective date to 10/15/2019</p> <p><u>10/11/2019</u>: Cancellation of previously-announced tariff rate increase (i.e., tariff rate remains at 25%)</p>				<p>(regardless of publication date)</p> <ul style="list-style-type: none"> • August 7, 2019 Covers 15 requests • September 20, 2019 Covers 46 requests • October 23, 2019 Covers 95 requests • November 13, 2019 Covers 42 requests • November 29, 2019 Covers 39 requests • December 17, 2019 Covers 75 requests • January 6, 2020 Covers 81 requests • <i>Additional requests pending</i>
Fourth Tranche of U.S. Tariffs	<p><u>5/13/2019</u>: USTR released a fourth set of 3,805 tariff lines of Chinese imports</p> <p><u>8/13/2019</u>: USTR finalized fourth set of tariffs on Chinese imports; said it would (a) remove certain products from the tariff list based on health, safety, national security and other factors; and (b) delay until December 15 tariffs for certain articles</p> <p><u>8/23/2019</u>: President Trump ordered tariff rate increase from 10% (as initially announced) to 15%</p> <p><u>8/30/2019</u>: USTR published formal notice re: rate modification</p> <p><u>10/18/2019</u>: USTR announced it would open a product exclusion process October 31</p> <p><u>12/13/2019</u>: As part of Phase One trade deal, USTR announced it was postponing indefinitely List 4B tariffs</p> <p><u>1/15/2020</u>: As part of Phase One deal, USTR announced a reduced tariff rate of 7.5% effective February 14, 2020</p>	<p>List 4A <i>See Annexes A & B</i></p> <p>List 4B <i>See Annexes C & D</i></p>	<p>15% initially, then 7.5%</p> <p>Postponed</p>	<p>\$120 billion</p> <p>\$160 billion</p>	<p>List 4A effective date (15%): 9/1/2019</p> <p>List 4A effective date (7.5%): 2/14/2020</p> <p>List 4B effective date: Postponed</p> <p>Public hearing: 6/17/2019</p> <p>Deadline for written comments: 6/17/2019</p> <p>Requests for product exclusions (for List 4A) due: 1/31/2020 (window opens 10/31/2019) <i>Exclusions will apply retroactively to 9/1/2019 and will expire September 1, 2020</i></p>

Action (CHINA)	Details	Product List	Tariff Rate	Value of Imports	Key Dates
First Tranche of Chinese Retaliatory Tariffs	<u>6/16/2018</u> : China released a final first retaliation list of 545 tariff lines (covering U.S. imports into China)	1st tranche (FINAL)	25%	\$34 billion	Effective date: 7/6/2018
Second Tranche of Chinese Retaliatory Tariffs	<u>6/16/2018</u> : China released a proposed second retaliation list of 114 tariff lines (covering U.S. imports into China) <u>8/8/2018</u> : China released a final second retaliation list of 333 tariff lines	2nd tranche (FINAL)	25%	\$16 billion	Effective date: 8/23/2018
Third Tranche of Chinese Retaliatory Tariffs	<u>8/3/2018</u> : China released a proposed third retaliation list of 5,207 tariff lines (covering U.S. imports into China) <u>9/18/2018</u> : China announced decision to proceed with proposed list <u>5/13/2019</u> : China announced increase in tariff rates effective 6/1/2019 following U.S. tariff rate increase	List 1 – 10%, 25% List 2 – 10%, 20% List 3 – 5%, 10% List 4 – 5%, 5%	5-10% initial; increased to 5-25% (<i>see effective dates</i>)	\$60 billion	Effective date (lower rates): 9/24/2018 Effective date (higher rates): 6/1/2019
Fourth Chinese Retaliatory Tariff Action	<u>8/23/2019</u> : China announced additional tariffs on 5,078 tariff lines (many of the products were already subject to retaliatory tariffs); China also announced it would re-impose suspended retaliatory tariffs on autos / auto parts effective 12/15/19 <u>12/15/2019</u> : China announced it was postponing indefinitely these tariff increases as a result of the Phase One deal	List 1 – 5-10% List 2 – 5-10%	5-10% Postponed	\$75 billion	Effective date (List 1): 9/1/2019 Effective date (List 2 and re-imposition of auto / auto parts tariffs): Postponed